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Research Article

# Safety and efficacy of pressurized intraperitoneal/ intrathoracic aerosol chemotherapy (PIPAC/PITAC) in patients with peritoneal and/or pleural carcinomatosis: A preliminary experience

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#### **Abstract**

**Background:** Pressurized intracavitary aerosol chemotherapy is a newly developed therapeutic strategy to treat peritoneal and/or pleural carcinomatosis by directly applying antitumor agents into the peritoneal and/or in thoracic space (PIPAC = pressurized intraperitoneal aerosol chemotherapy, PITAC = pressurized intrathoracic aerosol chemotherapy). According to the current literature these approaches appear to be promising in terms of efficacy and safety as compared to the other standard chemotherapy strategies for advanced stage tumors.

Methods: The purpose of our retrospective, monocentric, observational study is to evaluate the postoperative outcomes of PIPAC/PITAC in patients with peritoneal and/or pleural carcinomatosis from several tumor entities; focusing in particular on gastric cancer, ovarian cancer, mesothelioma and colorectal cancer. Other peritoneal/pleural metastatic tumors have also been investigated and are included in the study.

From April 2014 to April 2018 we collected data from 35 patients (17 male, 18 female), aged 29 to 81 years old, and from a total of 68 procedures (47 PIPAC and 21 PITAC).

Each patient underwent a maximum of 4 PIPAC and/or 4 PITAC applications. Doxorubicin/Cisplatin or Oxaliplatin were administered according to tumoral and patient-related characteristics.

Results: In our preliminary results, 13 cases with several PIPAC/PITAC cycles (72.2%) showed tumor regression (in terms of PCI/EPC score, Ki-67 proliferation rate and/or peritoneal/pleural effusion). Four out of 11 in the PIPAC series (36.4%) and 4 out of 7 in the PITAC series (57.1%) showed a reduction of the Peritoneal Carcinomatosis Index (PCI) as well as the Extent of Pleural Carcinomatosis (EPC score). The Ki-67 proliferation rate was lowered in 6 out of 18 cases in the PIPAC/PITAC series (33.3%). A reduction of the peritoneal/pleural effusion was observed in 7 of the 18 interventions (38.9%).

Twenty-two patients died (62.8%); 10 within 3 months following the first procedure. Thirteen patients (37.1 %) are still alive today, with a mean survival duration of 424.5 days.

Ten out of 68 procedures (14.7 %) experienced postoperative complications (adverse events, AEs): 4 AEs were most likely related to the administration of intracavitary chemotherapy (2 peritoneal chemical toxic reactions, 1 renal failure, 1 severe splanchnic pain) and 6 AEs had an unclear relationship to the chemotherapy (2 airleakages after PITAC with wedge resection in the same operation, 2 intestinal obstructions, 1 bowel perforation with sepsis development and 1 with wound healing problems). One patient died in hospital due to acute on chronic renal failure. The mean length of hospitalization was 8.5 days (SD = ± 8 d). The quality of life of patients at discharge from hospital was satisfactory on the whole.

Conclusions: On the basis of our results we cannot make definitive statements in term of the efficacy of PIPAC/PITAC but we have observed good tolerability of these procedures with positive feedback regarding quality of life. Due to the difficult recruitment of suitable patients (the majority in very late stages of cancer), more time is needed to achieve statistical significance in our findings.

# Introduction and Review of the Literature

Pressurized Intraperitoneal and Pressurized Intrathoracic Aerosol Chemotherapy (PIPAC and PITAC respectively) refer to newly developed therapeutic strategies to treat peritoneal and/or pleural carcinomatosis (PC) by delivering approved i.v. antitumor agents in the peritoneal and/or into the thoracic space (off-label application). Both procedures are performed minimal invasively and must be considered

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as a supplement to established therapies as there is insufficient evidence of their impact on the overall survival in patients affected by PC.

The first PIPAC procedures were developed and performed on pig models between the years 2000 and 2012 by Reymond et al. (Department of Surgery, Marienhospital Herne, Ruhr University Bochum, Germany) [1,2]. The intention was to apply chemotherapeutic agents as a pressurized aerosol directly into the abdominal cavity, taking advantage of physical properties such as the homogeneous distribution of a gas within a closed space and creating a pressure gradient to overcome the tumor interstitial fluid pressure; a former obstacle in cancer therapy [3]. Their findings showed increased drug distribution and penetration into the peritoneal tissue as compared to peritoneal lavage with a liquid drug solution which results in a higher local drug concentration. These results were then confirmed on human PC ex vivo [4].

Since the experimental procedure has been approved for humans, many studies have been published regarding its efficacy. The literature on this topic was initially poor (see Case Reports by Solass *et al.*, [4], Tempfer *et al.*, [5] and Giger-Pabst *et al.*, [6]) but has improved constantly over the years.

The first significant results came from Tempfer *et al.*, [7]. This cohort study involved 99 patients with a total of 252 PIPAC procedures and focused primarily on gynecological malignancies, especially ovarian cancer. The investigation proved the efficacy and feasibility of PIPAC, especially in terms of safety, quality of life, tolerability and tumor regression. Odendahl et al. [8] found similar results. Following this strategy, in 2017 the Swiss group of Demartines *et al.*, reported the first concrete contribution outside the Reymond's team by analyzing 91 procedures in 24 consecutive patients and obtaining similar results [9-11]. Alyami *et al.*, also published a paper with analogue conclusions the same year [12] (N=73, PIPAC procedures 164).

Tumor specific evidence concerning PIPAC in peritoneal carcinomatosis from gastric (Nadiradze *et al.*, [13]), colorectal (Demtroeder *et al.*, [14]) hepatobiliary (Falkenstein *et al.*, [15]) and pancreatic cancer (Sleeman [16], Khosrawipour *et al.*, [17], Graversen *et al.*, [18]) remains limited, most likely due to recruitment difficulties.

The PITAC procedure has only been analyzed in two small publications [19,20].

Parallel to the population studies, research is progressing in order to improve the procedures, as seen in publications by Khosrawipour *et al.*, [17] and Seitenfus *et al.*, [21-23].

Other satellite papers describe the effects on tumor tissues by combining pressurized drug vaporization with hyperthermia [24], electrostatic precipitation [25], irradiation [26-28], nanoparticles [29] or hyperthermal nanoaerosol [30].

More evidence is needed to confirm these primary results. In 2018 an international survey study by Nowacki *et al.*, reported the data summed up from 832 procedures in 349 patients from 15 PIPAC centers worldwide [31]. Their analysis reports a good overall standardization of the procedures, which is a positive basis for future developments.

We expect more high value data in the future due to the creation of an international PIPAC registry [32].

Moreover, many aspects of these new therapies have not yet been clarified, for example the occupational hazard of nebulized drugs on healthcare professionals. Safety measures implemented during PIPAC/PITAC as described by Solass *et al.*, [33] have proven to be in

compliance with the European Community working safety laws, but further detailed investigation is needed to confirm the safety of these procedures.

# Methods

#### Study design

In this retrospective, monocentric, observational study we collected the data of 35 patients - with a total of 68 procedures (PIPAC/PITAC) - between April 2014 and April 2018. These 35 patients suffer from different peritoneal/pleural metastatic tumors (Table 1) and the trial includes the preliminary results from an ongoing study.

Since February 2016, our ongoing study is a monocentric prospective phase II clinical trial with the official approval of the Institutional Review Board (Kantonale Ethikkommission, Kanton Zurich Switzerland). The goal is to evaluate postoperative outcomes in patients with peritoneal and/or pleural carcinomatosis of at least 50 patients per tumor entity (gastric, ovarian, colorectal cancer and mesothelioma).

The parameters analyzed before, during and after therapy are:

- Ki-67 as cellular marker of proliferation
- Amount of peritoneal/pleural effusion (ml)
- Pleura Carcinomatosis Index (PCI, scale 0-39 points) and the Extent of Pleural Carcinomatosis (EPC, scale 0-9 points) for PIPAC and PITAC, respectively.

#### Patient characteristics

We collected data from 35 patients (17 male, 18 female), aged 29 to 81 years old, and 68 procedures (47 PIPAC and 21 PITAC).

Inclusion criteria were age over 18 years, confirmed peritoneal/pleural carcinomatosis, failure of intravenous chemotherapy (tumor progression or recurrence) and positive consent declaration (Table 2). Patients under 18 years old, those not qualifying for a general anesthesia or not able to communicate, and pregnant women were excluded.

Our internal interdisciplinary Tumor Board determined whether the treatment with PIPAC or PITAC was suitable for each patient or not.

Cisplatin/Doxorubicin (resp. 7.5 mg/m2 body surface in 150 ml NaCl 0.9% and 1.5 mg/m<sup>2</sup> in 50 ml NaCl 0.9%) or Oxaliplatin (92 mg/m<sup>2</sup>) were administered according to tumor and patient-related characteristics.

Table 1. Patient classification according to tumor type

Tumor type	Number of patients n (%)
Colorectal cancer	6 (17.1%)
Gastric cancer	6 (17.1%)
Gynecological malignancies	9 (25%)
Hepatobiliary malignancies	5 (14.3%)
Lung cancer (NSLC)	5 (14.3%)
Other	4 (11.4%)

Table 2. Inclusion and Exclusion Criteria of our study

Inclusion criteria	Exclusion criteria
Age > 18 years old	Age < 18 years old
Diagnosis of peritoneal/pleural carcinomatosis	Pregnant women
Failure of standard intravenous chemotherapy	Non-compliance
Positive consent declaration	Not qualifying for general anesthesia

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A maximum of 4 PIPAC and/or 4 PITAC applications was set for each patient.

# Therapy: PIPAC and PITAC

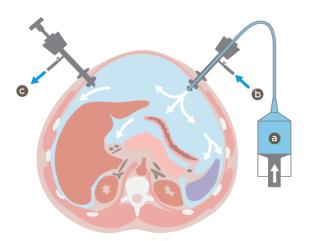
All PIPAC/PITAC were performed at the Centre for Surgery Zurich, Hirslanden Private Hospital Group, according to recommendations by Solass *et al.*, [33].

Each PIPAC/PITAC was remote controlled and the operating room (OR) was equipped with laminar air-flow.

To ensure the safety of the whole OR staff, a team timeout including a dedicated checklist was specially implemented. Intraoperative data collection also followed a standardized protocol.

In the PIPAC procedure (Figure 1), the abdominal cavity was first inflated with carbon dioxide with 12 mmHg pressure and at 37°C. Two isolating trocars were inserted (5 and 12 mm in diameter).

A diagnostic laparoscopy was then performed to assess the Peritoneal Carcinomatosis Index (PCI, see Sugarbaker et al. [34]) (Figure 2). Any tumor-related fluid was completely suctioned off and measured in ml. Parietal biopsies were taken from all of the abdominal quadrants and used to analyze tumor activity. In a second step, the nebulizer was connected to a high-pressure injector and inserted into the abdomen through a trocar. The pressurized aerosol containing chemotherapy



**Figure 1.** PIPAC procedure: The peritoneal cavity is insufflated with  $CO_2$ . The chemotherapy agent (a) is vaporized at high pressure within the abdominal cavity (b). The aerosol is suctioned off through another trocar in a closed system (c)

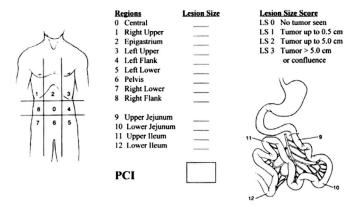


Figure 2. Peritoneal Cancer Index http://www.hipec.com/knowledge-base/determining-the-peritoneal-cancer-index/

drugs was applied by vaporization in the same manner. A steady state was maintained for 30 minutes at the end of the application to allow for optimal drug absorption into the peritoneal tissue. The toxic aerosol was then removed via a closed exhaust system and the trocars retracted.

In the PITAC procedure, two trocars were placed in the chest wall during one-lung ventilation and connected with the same injector system with 12 mmHg pressure and at 37°C. The criteria established by Rodriguez-Panadero et al., for measuring pleural carcinomatosis [35] (EPC-score, Extent of Pleural Carcinomatosis-score) was implemented. At the end of the drug vaporization phase, a steady state was maintained for 30 minutes to allow for optimal absorption into the pleural tissue. The toxic aerosol was then removed via a closed exhaust system and the trocars retracted.

#### Data collection and statistical analysis

Our data was collected retrospectively over a 4-year-period and stored on a computer database (MS Xcel®).

Descriptive statistics included mean, median and range. Due to the small number of cases, a statistical survey has not been performed.

#### Results

Thirty-five consecutive patients were included in our study from April 2014 to April 2018; 17 male and 18 females. 25.7% were aged over 70 years old with a median age of 54.5 years (29-80) (Table 3).

Six patients suffered from colorectal cancer (17.1%), six from gastric cancer (17.1%) and nine had gynecological malignancies (25.7%). Five had hepatobiliary cancer (14.3%), five lung cancer (14.3%, all of them had a non-small cell lung cancer, NSLC) and four suffered from other tumors (pleural mesothelioma, appendiceal mucinous neoplasia, small bowel cancer, Cancer Unknown Primary site) (Table 1). At baseline, 13 patients had organic metastasis (37.1%) and 32 had undergone prior radiotherapy/chemotherapy treatment (91.4%) (Table 3).

The median BMI was 23.4 kg/m $^2$  (15.4-31.3) at therapy-baseline and did not reduce significantly over time.

Only six patients with BMI lower than 18.5 kg/m2 were enrolled in our study (17.1%) (Table 3).

The total number of interventions was sixty-eight, 47 PIPAC (69.1%) and 21 PITAC (30.9%). Each patient could have a maximum of 4 PIPAC and/or 4 PITAC applications. Twenty-five patients received PIPAC only, four patients had PITAC only and 6 patients underwent both PIPAC and PITAC, according to their disease (Table 4).

Twenty-three procedures were performed just once per patient and only eighteen could be repeated at a later date, suggesting that at recruitment, only a few patients were healthy or at a stage in their illness that allowed for serial operations.

In 23 patients (65.7%) the therapy had to be discontinued after the first PIPAC/PITAC cycle (Table 5). In the majority of these cases it was due to the deterioration of patient's condition (34.8%) or death (17.4%). Four patients stopped the therapy due to a switch to systemic chemotherapy, and two displayed clear tumor progression which required conventional chemotherapy. One case of toxic reaction to the intracavitary chemotherapy was not compatible with further PIPAC cycles. Four patients are currently waiting to continue the PIPAC/PITAC therapy (17.4%).

As mentioned above, we observed several parameters in order to evaluate the efficacy of intraperitoneal / intrathoracic chemotherapy

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Table 3. Patient characteristics

	All patients (n=35)	1 PIPAC or PITAC (n=23)	2 or >3 PIPAC or PITAC (n=18)
Demographics			
Median age (years)	54.5 (28-89)	54.5 (29-80)	61 (43-79)
Age $>$ or $= 70$ years	9 (25.7%)	5 (21.7%)	4 (22.2%)
Gender (male)	17 (48.6%)	11 (47.8%)	6 (33.3%)
Median BMI (kg/m2)	23.4 (15.4-31.3)	24.2 (17.1-31.3)	22.6 (15.1-30.1)
BMI < 18.5  kg/m2	6 (17.1%)	3 (13%)	4 (22.2%)
Prior therapies	Radio-/Chemotherapy Yes		hemotherapy No
	32 (91.4%)	3 (8.6%)	
Organic metastasis	Yes 13 (37.1%)	No 22 (62.9%)	

Table 4. Procedure distribution in our study

Procedures (n=68)	n (%)
PIPAC	47 (69.1%)
PITAC	21 (30.9%)
1 Cycle (PIPAC or PITAC)	23 (65.7%)
2+ > Cycles (PIPAC or PITAC)	18 (34.3%)
Patients (n=35)	n (%)
PIPAC only	25 (71,4%)
PITAC only	4 (11,4%)
PIPAC and PITAC	6 (17.2%)

Table 5. Reasons for discontinuation of PIPAC / PITAC therapy

Cause of termination after 1 PIPAC or PITAC Cycle (n=23)	n (%)
General deterioration in condition	8 (34.8%)
Death	4 (17.4%)
Planned systemic therapy	4 (17.4%)
Toxic reaction	1 (4.3%)
Tumor progression	2 (8.7%)
Further PIPAC / PITAC planned	4 (17.4%)

(Table 6). In almost 40% of the repeated interventions, a regression trend in ascites or pleural effusion was observed. The Ki-67 cell proliferation marker showed a positive effect in  $\frac{1}{2}$  of the 18 PIPAC/PITAC series. In addition, the PCI and consequently the EPC score was lowered in 45% of all cases.

The significance of these results, however, is very low due to the small number of patients involved in serial procedures.

Ten PIPAC/PITAC (14.7%) faced adverse events (AEs) as shown in Table 7. The majority did not exceed Grade IIIb of the Clavien-Dindo classification of postoperative complications (Table 8). One patient died of acute on chronic renal failure two days after the operation.

Only one intraoperative complication occurred during a PIPAC procedure (a minor bowel injury with repair in the same operation). This indicates the high feasibility of this operation thanks to the experienced surgeons and standardized protocols.

The mean length of hospitalization was 8.5 days (SD =  $\pm$  8 d). The quality of life in patients at time of discharge from hospital was satisfactory on the whole but has not been included in our findings.

At present, thirteen out of thirty-five patients (37.1%) are still alive with a mean survival of 424,5 days (25-987). Twenty-two patients died (mean survival 189.6 days (2-625)), 10 of them within 3 months following the first procedure (Table 9).

## Discussion and conclusion

There is established pharmacokinetic evidence that intraperitoneal drug administration is advantageous in patients with peritoneal cancer. Intraperitoneal drug administration is usually well tolerated and may improve survival in Stage IV gastric, ovarian and colorectal cancer. Patients especially suitable for supplementary treatment option such as PIPAC or PITAC are those with small disease volume, a stable general condition, normal food intake and well-functioning bowel movements.

The cohort presented here, in contrast, is a summary of different cases with very heterogenous etiologies. There is a very broad spectrum not only of primary cancers, but also the general health status of the patients as shown in our table.

The majority of the cases presented in this study were already at a very advanced stage of cancer, all had undergone intraperitoneal or thoracic operations and most of have an extended history of various courses of chemotherapy.

The results are therefore worse - in contrast to other PIPAC and PITAC series - and not as promising. Nevertheless, in all patients with more than two PIPAC/PITAC-Cycles a potential benefit in terms of reduction of the proliferation rate (Ki67) and ascites or thoracic effusion was documented in 72.2% of the cases. One can also assume a potential benefit for survival time and improved quality of life for the remaining lifespan.

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Table 6. Intraoperative findings

	First operation Mean (Range)	Last operation Mean (Range)	Positive effect after several PIPAC/PITAC- Cycles
Peritoneal Carcinomatosis Index (PCI) (scale 0 - 39 points)	12.5 (0-25)	11 (0-22)	4 out of 11 PIPAC (36.4%)
Extent of Pleural Carcinomatosis (EPC) (scale 0 - 9 points)	4.5 (0-9)	4.5 (0-9)	4 out of 7 PITAC (57.1%)
Ki-67, cell proliferation index (%)	47.5 (5-90)	45 (10-80)	6 out of 18 PIPAC/PITAC (33.3%)
Ascites (ml)	3000 (0-6000)	4300 (0-8600)	4 out of 11 PIPAC (36.4%)
Pleural effusion (ml)	900 (0-1800)	450 (0-900)	3 out of 7 PITAC (42.9%)

Table 7. Adverse events (AEs), a description

	Adverse event (n)	Severity of postoperative complications according to the Clavien-Dindo classification	Therapy of the adverse event
Intraoperative complications (n=1; 1.5%)	Small bowel lesion (n=1)		Direct repair within the PIPAC procedure
Postoperative complications (n=10; 14.7%)	Small bowel obstruction (n=2) Small bowel perforation (n=1) Prolonged air-leakage (n=2) Severe splanchnic pain (n=1) Toxic reaction to the chemotherapy (n=2) Acute on chronic renal failure (n=1)	Grade II - IIIb Grade IIIb Grade I Grade IIIa Grade II - IIIa Grade III - IIIa	Revision laparotomy (n=1), conservative (n=3) Revision laparotomy, Antibiotics Conservative treatment Splanchnic nerve block Drainage and antibiotics (n=1), antibiotics only (n=1) Hydration, Dialysis
	Wound healing problems (n=1)	Grade I	Prolonged wound care
Deaths			
In-hospital deaths (n=1; 1.5%)	Acute on chronic renal failure		

Table 8. Clavien-Dindo classification of surgical complications [36]

Clavien-Dindo classification of surgical complications		
Grade	Definition	
I	Any deviation from the normal postoperative course without need of intervention beyond the administration of antiemetics, antipyretics, analgesics, diuretics, electrolytes and physical therapy (includes drained cutaneous infections without general anesthesia)	
II	Complication requiring pharmacological treatment with other medicines beyond those used for the Grade I complications	
III	Complication requiring surgical, endoscopic or radiological intervention, with (III-a) or without (III-b) general anesthesia	
IV	Life-threatening complication requiring admission to intensive care unit, with single organ dysfunction (including dialysis) (IV-a) or with multiple organ dysfunction (IV-b)	
V	Death	

Table 9. Survival in days since the start of PIPAC/PITAC therapy (mean)

Survival following first PIPAC/PITAC Cycle	Days
Alive (n=13)	424.5 (25-987)
Dead (n=22)	189.6 (2-625)
Dead within 30 postoperative days (n=10)	

On the other hand, the study is a proof of the patient safety of aerosol chemotherapy in the peritoneal and the thoracic cavities. Even in very advanced stage of disseminated cancer, the application could be performed and was well tolerated in all cases but one. This patient was suffering from chronic renal failure and died at the second postoperative day due to acute on chronic renal failure.

In all other cases the hospital stay was almost uneventful and did not influence the outcome of PIPAC or PITAC. A toxic reaction to the chemotherapy occurred in two cases but these were resolved without any long-term consequences.

Access to the chest or peritoneum was not a problem in most cases even if the patient had already undergone surgery - including major surgery such as extended cytoreduction or HIPEC or a combination of both. In one case, a small bowel lesion occurred during introduction of the optic trocar. The injury was closed with two laparoscopic sutures and PIPAC administration was carried out without any other complications.

In conclusion, the presented heterogenous cohort demonstrates different important aspects of PIPAC and PITAC. First of all, the administration can be performed with low risk and is well tolerated, even in patients with advanced disease and reduced general health conditions. The heterogeneity of the cohort collective and the challenge of finding patients at an earlier stage of the disease made it difficult to obtain more accurate results.

PIPAC and PITAC appear to be efficient treatment options in a fairly large group of cancer patients. Further research is needed to generate more effective arguments for patient selection and to define the most suitable time to start with - or use as a neo-adjuvant or additive therapy - in cancers which have spread to body cavity surfaces.

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